IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sunghoon KIM et al.

Title: IMMUNOLOGICAL ENHANCEMENT AGENT COMPRISING N-

TERMINAL PEPTIDE OF p43 AS AN EFFECTIVE COMPONENT

Appl. No.: Unassigned

Filing Date: 04/14/2004

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop PATENT APPLICATION Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Applicants submit herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/930,169, filed 08/16/2001. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date: April 14, 2004

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone:

(202) 672-5404

Facsimile:

(202) 672-5399

Stephen A. Bent

Attorney for Applicant

Registration No. 29,768

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

number.

	Substitute for form	1449B/	PTO	Complete if Known		
	INFORMATION DI	SCLO	SURE	Application Number	Unassigned	
	STATEMENT BY	APPLI	CANT	Filing Date	04/14/2004	
	Date Submitted: A	oril 14	2004	First Named Inventor	Sunghoon KIM	
	Date Submitted. A	pili 14,	, 2004	Group Art Unit	Unassigned	
	(use as many sheets	as ne	cessary)	Examiner Name	Unassigned	
Sheet	1	of	2	Attorney Docket Number	058333-0118	

U.S. PATENT DOCUMENTS								
Examiner Initials*		U.S. Patent I	Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	Cite No. ¹	Number	Kind Code ² (if known)					

			F	DREIGN PATENT DOCUMEN	TS		
Cite No. ¹	Fo Office ³	reign Patent D Number ⁴	Ocument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
A1	wo	00/73801	A2	LUDWIG INSTITUTE FOR CANCER RESEARCH	12-07-2000		
	No.1	No. ¹ Office ³	No. ¹ Office ³ Number ⁴	Cite No. 1 Poreign Patent Document Office 3 Number 4 Kind Code 5 (if known)	Cite No. 1 Foreign Patent Document Office No. 1 Name of Patentee or Applicant of Cited Documents A1 WO 00/73801 A2 LUDWIG INSTITUTE FOR	Cite No.1 Office3 Number4 Kind Code5 (if known) Applicant of Cited Documents MM-DD-YYYY A1 WO 00/73801 A2 LUDWIG INSTITUTE FOR 12-07-2000	Cite No. 1 Office Number Kind Code (if known) Name of Patentee or Applicant of Cited Documents MM-DD-YYYY Passages or Relevant Figures Appear

	NON PATENT LITERATURE DOCUMENTS				
 Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the em (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.				
A2	QUEVILLON, S. et al. "The p43 Component of the Mammalian Multi-synthetase Complex Is Likely to be the Precursor of the Endothelial Monocyte-activating Polypetide II Cytokine", J.Biol.Chem. (1997), Vol. 272, No. 51, pp. 32573-32579, The American Society for Biochemistry and Molecular Biology, Inc.				
А3	BEHRENSDORF, H. et al. "The endothelial monocyte-activating polypeptide II (EMAP II) is a substrate for caspase-7" FEBS Lett., (2000), Vol. 466, pp. 143-147, Federation of European Biochemical Societies.				
A4	KAO, J. et al. "A Peptide Derived from the Amino Terminus of Endothelial-Monocyte-activating Polypeptide II Modulates Mononuclear and Polymorphonuclear Leukocyte Functions, Defines an Apparently Novel Cellular Interaction Site, and Induces an Acute Inflammatory Response", J. Biol. Chem. (1994), Vol. 269, No. 13, pp. 9774-9782, The American Society for Biochemistry and Molecular Biology, Inc.				
 A5	KAO, J. et al. "Endothelial Monocyte-activating Polypeptide II: A Novel Tumor-Derived Polypeptide That Activates Host-Response Mechanisms", J. Biol. Chem. (1992), Vol. 267, No. 28, pp. 20239-20247, The American Society for Biochemistry and Molecular Biology, Inc.				
A6	KAO, J. et al. "Characterization of a Novel Tumor-derived Cytokine: Endothelial-Monocyte Activiting", J. Biol. Chem. (1994), Vol. 269, No. 40, pp. 25106-25119, The American Society for Biochemistry and Molecular Biology, Inc.				
A7	KNIES, U.E. et al., "Regulation of endothelial monocyte-activating polypeptide II release by apoptosis", Proc. Natl. Acad. Sci. USA (1998), Vol. 95, pp. 12322-12337.				
A8	SCHWARZ, M.A. et al., "Endothelial-Monocyte Activating Polypeptide II, A Novel Antitumor Cytokine that Suppresses Primary and Metastatic Tumor Growth and Induces Apoptosis in Growing Endothelial Cells", J. Exp. Med. (1999) Vol. 190, No. 3, pp. 341-352, The Rockefeller University Press.				
A9	TAS, M.P.R. and MURRAY, J.C., "Endothelial-Monocyte-Activating Polypeptide II", Int. J. Biochem. Cell. Biol. (1996), Vol. 28, No. 8, pp. 837-841, 1996 Elsevier Science Ltd.				

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

number.	and to respond to a concessor of morniagon driess it contains a valid Givin Contains
Substitute for form 1449B/PTO	Complete if Known
INFORMATION DISCLOSURE	Application Number Unassigned

	Substitute for form	1449B/	PTO		Complete if Known		
	INFORMATION DIS	SCLO	SURE	Application Number	Unassigned		
	STATEMENT BY A	APPLI	CANT	Filing Date	04/14/2004		
	Date Submitted: Ap	ril 14	2004	First Named Inventor	Sunghoon KIM		
	Date Submitted. Ap	ли 14,	2004	Group Art Unit	Unassigned		
(u	se as many sheets	as ne	cessary)	Examiner Name	Unassigned		
Sheet	2	of	2	Attorney Docket Number	058333-0118		

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	item (book magazine journal corral cymnocium catalog atc.) data paga(c) yaluma isaya ny						
	A10	SCHLUESENER, H.J. et al., "Localization of Endothelial-Monocyte-Activating Polypeptide II (EMAP II), a Novel Proinflammatory Cytokine, to Lesions of Experimental Autoimmune Encephalomyelitis, Neuritis, and Uveitis", GLIA (1997), Vol. 20, pp. 365-372, Wiley-Liss, Inc.					
	A11	BERGER, A.C. et al., "Endothelial Monocyte-Activating Polypeptide II, a Tumor-Derived Cytokine That Plays an Important Role in Inflammation, Apoptosis, and Angiogenesis", J. Immunother. (2000), Vol. 23, No. 5, pp. 519-527, Lippincott, Williams and Wilkins, Inc.					
	A12	KO, Y.G. et al., "A Cofactor of tRNA Synthetase, p43, Is Secreted to Up-regulate Proinflammatory Genes", J. Biol. Chem. (2001), Vol. 276, No. 25, pp. 23028-23033, The American Society for Biochemistry and Molecular Biology, Inc.					
	A13	PARK, S.G. et al., "Precursor of Pro-apoptotic Cytokine Modulates Aminoacylation Activity of tRNA Synthetase", J. Biol. Chem. (1999), Vol. 274, No. 24, pp.16673-16676, The American Society for Biochemistry and Molecular Biology, Inc.					

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.